

QFC Activities Summary: 1-Jan-09 to 31-Mar-09

Issued by	Description	Consultation Date / Council of Ministers Consent* Date	Enactment Date	Effective/Release Date
REGULATIONS				
RULES				
CONSULTATION PAPERS				
QFC Authority	Consultation Paper 1 of 2009			25-Feb-09
GUIDES				
NOTICES				
QFC Authority	Compliance Notice 12			27-Jan-09
QFC Authority	Notice of Action Under Article 23(2) of the QFC Authority Regulations- Silver Leaf Capital Partners LLC			4-Mar-09
QFC Authority	Notice of Intent to Proceed Under Article 80 of QFC Insolvency Regulations- Silver Leaf Capital Partners LLC			5-Mar-09
QFC Regulatory Authority	QFCRA Policy 2009-01: Financial Services Regulations (Financial penalties—late returns or reports) Policy 2009		6-Mar-09	
REGISTERED, LICENSED AND AUTHORISED FIRMS				
QFC Authority	Dewey & LeBouef LLP		13-Jan-09	
QFC Regulatory Authority	The Bank of Tokyo-Mitsubishi UFJ, Ltd.		15-Jan-09	

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WAIVER OR MODIFICATION NOTICES				
QFC Regulatory Authority	Waiver W 0001/09 The Bank of Tokyo-Mitsubishi UFJ, Ltd.		15-Jan-09	
QFC Regulatory Authority	Waiver W 0002/09 The Bank of Tokyo-Mitsubishi UFJ, Ltd.		15-Jan-09	
QFC Regulatory Authority	Waiver W 0003/09 TAIB Bank Qatar LLC		25-Jan-09	
QFC Regulatory Authority	Waiver W 0004/09 TAIB Bank Qatar LLC		25-Jan-09	
QFC Regulatory Authority	Waiver W 0005/09 Samba Financial Group		8-Feb-09	
QFC Regulatory Authority	Waiver W 0006/09 EFG-Hermes Qatar LLC		10-Feb-09	
QFC Regulatory Authority	Waiver W 0007/09 Aon Qatar LLC		10-Feb-09	
QFC Regulatory Authority	Waiver W 0008/09 Religare Hichens Harrison		16-Feb-09	
QFC Regulatory Authority	Waiver W 0009/09 Marsh Qatar LLC		16-Feb-09	
QFC Regulatory Authority	Waiver W 0010/09 Beltone Financial Qatar LLC		5-Mar-09	
QFC Regulatory Authority	Waiver W 0011/09 UBS AG – Qatar Financial Centre Branch		5-Mar-09	